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Assistant Commissioner for Patents, Washington, D.C. 20231,
On October 8, 2001

The Law Offices of Jonathan Alan Quine

By Deborah Berwick

10/19/0)

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TECH CENTER 1600/2900

Attorney Docket No. 02-028930US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Sergey A. Selifonov, et al.

Application No.: 09/494,282

Filed: January 18, 2000

For: Methods for Making Character Strings, Polynucleotides and Polypeptides

Having Desired Characteristics

Examiner: Zhou S.

Art Unit: 1631

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR § 1.97 and

§ 1.98

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

10/15/2001 SSESHE1 01 FC:126

00000115 500893 09494282

80.00 CH

Application No.: 09/494,282

Page 2

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

This IDS is being filed after the mailing date of the first Office Action and more than three months after the filing date, but prior to the Notice of Allowance or Final Office Action.

Please deduct \$180.00, pursuant to 37 CFR §1.17(p), from the undersigned's Deposit Account No. 50-0893. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Jonathan A. Quine Reg. No. 41,261

The Law Offices of Jonathan Alan Quine

P.O. Box 458

Alameda, CA 94501 Tel: (510) 337-7871

Fax: (510) 337-7877

JAQ:db

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(OCT 1 1	(2001 S)		Application Number	09/494,282)
PARADE	TRANSMITT	AL	Filing Date	January 18, 2000	TEC	
	FORM (to be used for all correspondence after initial filing)		First Named Inventor	Sergey A. Selifonov	TECH CENTER	음
			Group Art Unit	1631		1 7
			Examiner Name	Zhou S.	1600	2001
	Total Number of Pages in This Submis	ssion 5	Attorney Docket Number	02-028930US	/290) =
[ENCLOS	SURES (check all that app	rly)	\supset	
	X Fee Transmittal Form		nent Papers Application)	After Allowance Communicato Group	ation	
.	Fee Attached	Drawing	ı(s)	Appeal Communication to E of Appeals and Interference		
	Amendment / Response	Licensin	ng-related Papers	Appeal Communication to G		

ENCLOSURES (check all that apply)								
X Fee Transmittal Form	Assignment Papers (for an Application)	After Allowance Communication to Group						
Fee Attached	Drawing(s)	Appeal Communication to Board of Appeals and Interferences						
Amendment / Response	Licensing-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)						
After Final	Petition Routing Slip (PTO/SB/69) and Accompanying Petition	Proprietary Information						
Affidavits/declaration(s)	Petition to Convert to a Provisional Application	Status Letter						
Extension of Time Request	Power of Attorney, Revocation Change of Correspondence Address	Additional Enclosure(s) (please identify below):						
Express Abandonment Request	Terminal Disclaimer Small Entity Statement	receipt acknowledgment postcard						
X Information Disclosure Statement	Request for Refund							
Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 Authorization to Charge Deposit Account Please charge Deposit Account No. 50-0893 for any additional fees associated w this paper or during the pendency of this application, including any extensions of the documents enclosed. Remarks								
SIGNATU	RE OF APPLICANT, ATTORNEY, OR	AGENT						
Firm or Individual name Jonathan Alan Quine Reg. No. 41,261 The Law Offices of Jonathan Alan Quine								
Signature Dui								
Date October 8, 2001								
CERTIFICATE OF MAILING								
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Typed or printed name Deborah Berwick								
Signature Debaral Bellural Date October 8, 2								

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Patent fees are subject to annual revision.

Snief Entity payments <u>must</u> be supported by a small entity statement, priving large entity fees must be paid. See Forms PTO/SB/09-12.

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Complete If Known					
Application Number	09/494,282	ᇳ			
Filing Date	January 18, 2000	<u> </u>			
First Named Inventor	Sergey A. Selifonov) 10			
Examiner Name	Zhou S.	EN.			
Group / Art Unit	1631	E			
Attorney Docket No.	02-028930US				

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)							
The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit	Large Fee	Entity	Sma Fee	AL FE Il Entity Fee e (\$)	y	escription	Fee Pald	
Account 50-0893	105	130	205	65	Surcharge - late fil	ing fee or oath		
Oeposit Account Name Law Offices of Jonathan Alan Quine	127	50	227	25	Surcharge - late prover sheet.	rovisional filing fee or		
	139	130	139	130	Non-English speci	fication	ł :	
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	147	2,520	147	2,520	For filing a reques	t for reexamination		
37 CFR 1.16 and 1.17	112	920*	112	920*	Requesting publication	ation of SIR prior to		
2. Payment Enclosed: Check Money Other	113	1,840*	113	1,840*	Requesting publica Examiner action	ation of SIR after		
	115	110	215	55	Extension for reply			
FEE CALCULATION	116	400	216	200		within second month		
1. BASIC FILING FEE Large Entity Small Entity	117	920	217	460	Extension for reply	within third month	<u></u>	
Large Entity Small Entity Fee Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	118	1440	218	720	Extension for reply	within fourth month		
101 740 201 370 Utility filing fee	128	1960	228	980	Extension for reply	within fifth month		
106 310 206 155 Design filling fee	119	320	219	160	Notice of Appeal			
107 480 207 240 Plant filing fee	120	300	220	150	Filing a brief in sup	port of an appeal		
108 690 208 345 Reissue filing fee	121	260	221	130	Request for oral h	earing		
114 150 214 75 Provisional filing fee	138	1,510	138	1,510	Petition to institute	a public use proceed	ling	
174 100 214 10 110000000 1100	140	110	240	55	Petition to revive -	unavoidable		
SUBTOTAL (1) (\$)	141	1280	241	640	Petition to revive -	unintentional		
2. EXTRA CLAIM FEES	142	1280	242	640	Utility issue fee (or	r reissue)		
Fee from Extra Claims below Fee Paid	143	430		215	Design issue fee			
Total Claims -20** - X	144	580	244	290	Plant issue fee		<u> </u>	
Independent - 3** = X = =	122	130	122	130	Petitions to the Co	mmissioner		
Multiple Dependent	123	50	123	50	Petitions related to	provisional application	ons	
**or number previously paid, if greater; For Reissues, see below	126	180	126	180	Submission of Info	ormation Disclosure St	tmt ——	
Large Entity Small Entity	581	40	581	40			180.00	
Fee Fee Fee Fee Description Code (\$) Code (\$)	55.					atent assignment per imber of properties)		
103 18 203 9 Claims in excess of 20	146	690	246	345	Filing a submissio (37 CFR 1.129(a))	n after final rejection		
102 84 202 42 Independent claims in excess of 3 104 280 204 140 Multiple dependent claim, if not paid	149	690	249	345	For each additional examined (37 CFF	al invention to be		
109 78 209 39 ** Reissue independent claims over original patent	Other	fee (sp	ecify)	-m	examined (37 CF)			
110 18 210 9 ** Reissue claims in excess of 20 and over original patent	Other fee (specify)							
SUBTOTAL (2) (\$)				. 	n nation Si	UBTOTAL (3) (\$	180.00	
SUBTOTAL (2) (\$) Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 180.00								
SUBMITTED BY Complete (if applicable)								
Typed or Printed Name Jonathan Al	an Q)uine	e			Reg. Number	41,261	
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Typed or Printed Name	Deborah Be	rwick				
Signature	Deborah 1	Berrch			Date	October 8, 2001